# RealNetworks. <br> RealVideo 8 

## External Specification

## Video and Audio Technologies Codec Group RealNetworks, Inc

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## Summary

This document is the draft specification of RealVideo8 Codec.
RealVideo8 achieves new levels of compression performance at low as well as high data rates. The improvements are due in part to $1 / 3$ pixel interpolation for motion estimation, $4 x 4$ pixel block transforms, additional $4 \times 4$ intra prediction modes, the addition of $16 x 16$ intra prediction, and more efficient variable length coding by symbol manipulation. The algorithm also benefits from noise reduction prefiltering, an in-loop deblocking filter, and a matched post-filter.

## RealNetworks, Inc CONFIDENTIAL INFORMATION

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## Revision History:

| Revision | Date | Comment |
| :--- | :--- | :--- |
| 1.0 | $03 / 07 / 02$ | Decoder Specification, from Original Encoder + <br> Decoder Spec "Tromso External Specification <br> v10.doc" |
| 2.0 | $09 / 19 / 02$ | RealVideo 8 Specification new improved |
| 2.01 | $10 / 20 / 06$ | Typo fix in chroma interpolation filters |
| 2.02 | $04 / 23 / 07$ | Fix Quantization formula |

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## 1 High Level Overview

RealVideo8 represents major advances in compression performance. RealVideo8 achieves new levels of compression performance at low as well as high data rates. The improvements are due in part to $1 / 3$ pixel interpolation for motion estimation, $4 x 4$ pixel block transforms, additional $4 \times 4$ intra prediction modes, the addition of $16 \times 16$ intra prediction, and more efficient variable length coding by symbol manipulation. The algorithm also benefits from noise reduction prefiltering, an in-loop deblocking filter, and a matched post-filter. RealVideo8 Decoder has built in CPU scalability to ensure best possible Video Experience various hardware configurations.

## 2 Requirements, Objectives

Minimum Decode Platform: $160 \times 120$ pixel, 7.5 fps decode on a Pentium ${ }^{\mathrm{TM}}$ 166 MHz with 16 MB of memory,

Target bit rates: < $20 \mathrm{kbps}, 30 \mathrm{kbps}, 100 \mathrm{kbps}, 500 \mathrm{kbps}, 1 \mathrm{Mbps}$
Target frame sizes: $32 \times 32$ to $2048 \times 1152$, with particular attention to the range SQCIF (128x96) to Half-Horizontal CCIR-601 Resolution (360 x 480) .

Video quality requirements: A noticeable improvement in video quality over RealVideo G2 at comparable data rates.

## 3 Interface Specification

### 3.1 RealVideo DLI

Decoder DLL will comply to the RealVideo back-end interface detailed in Annex A. These interfaces might change for subsequent releases.

### 3.2 Console Application

A console application version of the codec will be available for development and testing purposes. The encoder and decoder command line arguments are listed in Annex B.

## 4 Algorithm Descriptions

As compression quality is still considered the most important development area for improving the streaming video experience, RealVideo8 delivers a quantum jump in compression efficiency.

### 4.1 Introduction

The RealVideo8 algorithm is largely based on H.26L[3][4] or now called the Joint Video Team proposal Mpeg4 part 10 / Advanced Video Codec, which experiments have shown provides significant and very visible coding gains over H.263+. RealVideo8 deviates by:

- not performing the chroma DC coefficient manipulation
- not including the $16 x 8,8 x 16,8 \times 4,4 x 8$ and $4 x 4$ motion compensated modes
- additional $4 \times 4$ intra prediction modes (These were proposed to JVT and have been accepted in simplified form (mode 7))
- addition of $B$ frames (26L now has generalised Bipredictive-frames)
- inloop filter definition and usage
- addition of an alternate VLC for coefficients


### 4.2 Overview

RealVideo 8 is a hybrid predictive coder that uses temporal prediction (motion compensation) and spatial prediction (intra-prediction), transform-based residual coding and an inloop deblocking filter. Figure 4.1 provides a high-level block diagram of the algorithm.


Figure 4.1: Block diagram of the RealVideo 8 decoder algorithm
The Incoming Bitstream describes how to reconstruct pictures in groups of non-overlapping $16 \times 16$ pixels (macroblocks). For each macroblock, the bitstream indicates whether Spatial Prediction or Temporal Prediction is to be used. Once a prediction is formed, the image residual is formed through the Coefficient Decoding, Dequantization and Inverse Transform process. The prediction and residual are added and stored in memory for use in future spatial prediction. Once the entire picture has been reconstructed, an inloop deblocking filter is used to remove blocking artifacts. This filtered image is then ready to be rendered (a post-filter is applied) and, in addition, used for future temporal prediction.

The RealVideo 8 decoding algorithm is defined to reconstruct video images in YUV 4:2:0 format. It is the function of the video renderer (or equivalent player module) to format the picture to the appropriate color space for display.

### 4.2.1 Picture Types

There are 3 picture types in RealVideo 8 - I-Pictures, P-Pictures and B-Pictures.

I-Pictures are also referred to as Intra-Frames or Key Frames. They do not use temporal prediction and, therefore, do not require other decoded reference frames to be in the decoder for proper reconstruction. I-Pictures provide entry or access points to the video sequence.

P-Pictures use both spatial and temporal prediction. The temporal prediction always uses one reference frame. That reference frame shall always be the most previous reconstructed I-Picture or P-Picture.

B-Pictures use both spatial and temporal prediction. However, temporal prediction uses up to 2 reference frames. These reference frames shall always be the 2 most previous reconstructed I-Pictures or P-Pictures that were found in the bitstream (i.e. in "bitstream" order, not display order). Because the display time of one reference picture is always before the B-Picture and the other is always after the BPicture, the placement of B-Pictures in the bitstream is not in display order. Figure 4.2 provides an example of display and bitstream ordering of $I, P$ and $B$ Pictures.

(b)

Figure 4.2: (a) Display Order. (b) Bitstream and Decode Order

### 4.2.2 Picture Structure

Pictures are divided into non-overlapping $16 \times 16$ group of pixels called macroblocks. For instance, a QCIF picture (176x144 pixels) is divided into 99 macroblocks as indicated in Figure 4.3.


Figure 4.3: A picture with $11 \times 9$ macroblocks (QCIF picture)
When parsing and decoding the video bitstream macroblocks are scanned from left to right starting at the top left of the picture. Once an entire row of macroblocks are decoded the next row down proceeds.

### 4.2.3 Macroblock Structure

The basic transform used for residual coding is a 4x4 2-D transform. Figure 4.4 below indicate how a macroblock is divided into $4 \times 4$ regions and the scanning order of these regions.


Figure 4.4: Macroblock scanning order of $4 \times 4$ blocks during residual coding

### 4.3 Core Compression Algorithm

### 4.3.1 Macroblock Types

Each macroblock is given a categorization (macroblock type) that indicates both the way prediction is done for that macroblock (e.g. spatial or temporal) and the way residual transform is done (e.g. single $4 x 4$ transforms or a double transforms). The complete list of macroblock types is given below in Table 4.1 .

TABLE 4.1: List of macroblock types

| MB Types | Description | I-Pic | P-Pic | B-Pic |
| :--- | :--- | :---: | :---: | :---: |
| INTRA | Intra, 16 4x4 predictions | X | X | X |
| INTRA_16x16 | Intra, 16x16 prediction, Dbl Xfm | X | X | X |
| INTER | Inter, 1MV |  | X |  |
| INTER_4V | Inter, 4MVs for 4 8x8 blocks |  | X |  |
| SKIPPED | Inter, no residual, MV=(0,0) |  | X |  |
| FORWARD | Fwd MV, 1MV |  | X |  |
| BACKWARD | Bwd MV, 1MV |  | X |  |
| DIRECT | Direct, Derived 2MV for 16x16 <br> block |  | X |  |
| SKIPPED | Direct, no residual, Derived MV <br> for 16x16 block |  | X |  |

### 4.3.2 $1 / 3$ sub-pel prediction

Motion vectors in RealVideo 8 are transmitted in $1 / 3$ pixel units. When the motion vectors for a macroblock have been decoded the full-pixel offset can be obtained by dividing by 3 .

$$
\begin{aligned}
& \text { MVx_int }=(\text { MVx_luma/3) } \\
& \text { MVy_int }=(\text { MVy_luma/3). }
\end{aligned}
$$

The "phase" or sub-pixel location can be obtained as follows.
MVx_sub $=$ (MVx_luma $-M V x$ _int*3)
MVy_sub $=($ MVy_luma - MVy_int*3).
For luma sub-pixel interpolation is calculated with a 4-tap filter. For chroma, a 2 -tap filter is used. In addition, one of the 9 interpolated pixels, MVx_sub $=2$, MVy_sub $=2$, in the luma plane is created using a stronger filter. Thus, using $1 / 3-p e l$ prediction instead of $1 / 2-$ pel prediction has two advantages
B more accurate motion estimation
B automatic adaptation of, and a larger variation in filter strength The different horizontal and vertical filters are illustrated in Table 4.2 .

TABLE 4.2: Luma Horizontal and vertical motion compensation filters

| $\begin{aligned} & \text { (MVx_sub, } \\ & \text { MVy_sub) } \end{aligned}$ | Horizontal, Vertical Filter $\mathrm{p}_{\mathrm{i}, \mathrm{j}}=$ inter pixels, $t_{i, j}=$ temporary buffer, $y_{i, j}=$ interpolated image Note: (i,j) = coordinates $x, y$ pair and not row, column pair |
| :---: | :---: |
| (0,0) | $\begin{aligned} & t_{i, j}=p_{i, j} \\ & y_{i, j}=t_{i, j} \end{aligned}$ |
| (0,1) | $\begin{aligned} & t_{i, j}=p_{i, j} \\ & y_{i, j}=\left(-1 t_{i, j-1}+12 t_{i, j}+6 t_{i, j+1}-1 t_{i, j+2}+8\right) \gg 4 \end{aligned}$ |
| $(0,2)$ | $\begin{aligned} & t_{i, j}=p_{i, j} \\ & y_{i, j}=\left(-1 t_{i, j-1}+6 t_{i, j}+12 t_{i, j+1}-1 t_{i, j+2}+8\right) \gg 4 \end{aligned}$ |
| (1,0) | $\begin{aligned} & t_{i, j}=\left(-1 p_{i-1, j}+12 p_{i, j}+6 p_{i+1, j}-1 p_{i+2, j}+8\right) \gg 4 \\ & y_{i, j}=t_{i, j} \end{aligned}$ |
| $(1,1)$ | $\begin{aligned} & \mathrm{t}_{\mathrm{i}, j}=\left(-1 \mathrm{p}_{\mathrm{i}-1, j}+12 \mathrm{p}_{\mathrm{i}, \mathrm{j}}+6 \mathrm{p}_{\mathrm{i}+1, j}-1 \mathrm{p}_{\mathrm{i}+2, j}\right) \\ & \mathrm{y}_{\mathrm{i}, \mathrm{j}}=\left(-1 \mathrm{t}_{\mathrm{i}, \mathrm{j}-1}+12 \mathrm{t}_{\mathrm{i}, \mathrm{j}}+6 \mathrm{t}_{\mathrm{i}, \mathrm{j}+1}-1 \mathrm{t}_{\mathrm{i}, \mathrm{j}+2}+128\right) \gg \end{aligned}$ |
| $(1,2)$ | $\begin{aligned} & t_{i, j}=\left(-1 p_{i-1, j}+12 p_{i, j}+6 p_{i+1, j}-1 p_{i+2, j}\right) \\ & y_{i, j}=\left(-1 t_{i, j-1}+6 t_{i, j}+12 t_{i, j+1}-1 t_{i, j+2}+128\right) \gg \end{aligned}$ |
| $(2,0)$ | $t_{i, j}=\left(-1 p_{i-1, j}+6 p_{i, j}+12 p_{i+1, j}-1 p_{i+2, j}+8\right) \gg 4$ |


|  | $y_{i, j}=t_{i, j}$ |
| :--- | :--- |
| $(2,1)$ | $t_{i, j}=\left(-1 p_{i-1, j}+6 p_{i, j}+12 p_{i+1, j}-1 p_{i+2, j}\right)$ |
|  | $y_{i, j}=\left(-1 t_{i, j-1}+12 t_{i, j}+6 t_{i, j+1}-1 t_{i, j+2}+128\right) \gg 8$ |
| $(2,2)$ | $t_{i, j}=\left(-0 p_{i-1, j}+6 p_{i, j}+9 p_{i+1, j}+1 p_{i+2, j}\right)$ |
|  | $y_{i, j}=\left(-0 t_{i, j-1}+6 t_{i, j}+9 t_{i, j+1}+1 t_{i, j+2}+128\right) \gg 8$ |

The final value of $y$ clipped to $0-255$.
Motion vectors for chroma motion compensation are derived from the motion vectors for the luma. Specifically, the chroma MVs are calculated as

$$
\begin{aligned}
& \text { MVx_chroma }=\text { MVx_luma >> } 1 \\
& \text { MVy_chroma }=\text { MVy_luma >> } 1
\end{aligned}
$$

Then the integer offset and sub-pixel location can be obtained by

```
MVx_chroma_int = (MVx_chroma / 3)
MVy_chroma_int = (MVy_chroma / 3).
MVx_chroma_sub = (MVx_chroma - MVx_chroma_int*3)
MVy_chroma_sub = (MVy_chroma - MVy_chroma_int*3).
```

Additionally, the size of motion compensation blocks are half the size, horizontally and vertically, from those used in luma. Thus, motion compensation block sizes for chroma include $8 \times 8,8 \times 4,4 \times 8$ and $4 \times 4$. Chroma motion compensation filters are given in Table 4.3.

Note when these filters are used for chroma, the drift due to rounding errors is much smaller than in H.263, and the rounding control mechanism (RCONTROL) that was added to H. $263+$ is not needed.

TABLE 4.3: Chroma Horizontal and vertical motion compensation filters

| (MVx_chroma_sub, <br> MVy_chroma_sub) | Filter (input $p_{y, x}$ output $\left.f_{y, x}\right)$ |
| :---: | :--- | :--- |
| $(0,0)$ | $f_{i, j}=p_{i, j}$ |
| $(0,1)$ | $f_{i, j}=\left(5 p_{i, j}+3 p_{i, j+1}+4\right) \gg 3$ |
| $(0,2)$ | $f_{i, j}=\left(3 p_{i, j}+5 p_{i, j+1}+4\right) \gg 3$ |
| $(1,0)$ | $f_{i, j}=\left(5 p_{i, j}+3 p_{i+1, j}+4\right) \gg 3$ |
| $(1,1)$ | $f_{i, j}=\left(25 p_{i, j}+15 p_{i+1, j}+15 p_{i, j+1}+9 p_{i+1, j+1}+32\right) \gg 6$ |
| $(1,2)$ | $f_{i, j}=\left(15 p_{i, j}+9 p_{i+1, j}+25 p_{i, j+1}+15 p_{i+1, j+1}+32\right) \gg 6$ |
| $(2,0)$ | $f_{i, j}=\left(3 p_{i, j}+5 p_{i+1, j}+4\right) \gg 3$ |
| $(2,1)$ | $f_{i, j}=\left(15 p_{i, j}+25 p_{i+1, j}+9 p_{i, j+1}+15 p_{i+1, j+1}+32\right) \gg 6$ |
| $(2,2)$ | $f_{i, j}=\left(9 p_{i, j}+15 p_{i+1, j}+15 p_{i, j+1}+25 p_{i+1, j+1}+32\right) \gg 6$ |

The final value of $f$ clipped to $0-255$.

### 4.3.3 One VLC code

Previous standards-based codecs used different variable-length (VLC) and fixed-length (FLC) code tables for different coding parameters where the VLCs and FLCs were matched to the statistics of the parameters to be coded. This algorithm uses one variable-length code table to code all the information in the video sequence. To achieve a high coding efficiency, the coding model has been designed so that the
symbols match the statistics of this single VLC. The particular VLC that is used has a regular structure:

| VLC in compressed | Code number (N) | Explicit | Inf | L |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 0 | 1 |  | 1 |
| $0 \mathrm{x}_{0} 1$ | 1 | 001 | 0 | 3 |
|  | 2 | 011 | 1 | 3 |
| $0 \mathrm{x}_{1} 0 \mathrm{x}_{0} 1$ | 3 | 0000001 | 00 | 5 |
|  | 4 | 000011 | 01 | 5 |
|  | 5 | 010001 | 10 | 5 |
|  | 6 | $\begin{array}{lllllll}0 & 1 & 0 & 1 & 1\end{array}$ | 11 | 5 |
| $0 \begin{array}{lllllll} 0 & x_{2} & 0 & x_{1} & 0 & x_{0} & 1 \end{array}$ | 7 | 00000001 | 000 | 7 |
|  | 8 | 0000011 | 001 | 7 |
|  | ... | $\cdots$ | ... | ... |

## Table 1 The one and only VLC

The table of codewords may be written in the following compressed form.

. . . . . . . . . . . . . . . . .
where $\mathrm{x}_{\mathrm{n}}$ take values 0 or 1 . We will sometimes refer to a codeword with its length in bits (L) and $I N F O=x_{n} \ldots x_{1} x_{0}$. Notice that the number of bits in INFO is L/2 (division by truncation).

When $L$ and INFO is known, the regular structure of the table makes it easy to create a codeword bit by bit. Similarly, a decoder may easily read bit by bit until the last "1" which gives the end of the codeword. L and INFO is then readily available. For each parameter to be coded, there is a conversion rule from the parameter value to the code number (or L and INFO). Table 3 lists the connection between code number and most of the parameters used in the present coding method.

RealVideo8 uses alternative VLC coding for coefficient information. See Block Layer Description.

### 4.3.4 Block sizes for Inter prediction

In this model it is possible to estimate motion and compensate motion on $16 \times 16$, and $8 x 8$ pixel block sizes. The encoder chooses one motion compensation mode for each macroblock. Motion vectors off the edge of the frame are allowed and used. A valid MV is defined such that the interpolation of that MV is possible within the padded image.


Figure 4.5: Motion compensation block sizes for Inter macroblocks

### 4.3.5 Improved intra coding

An improved advanced intra coding mode is used. Relative to the AIC mode in H. $263+$, this version is $4 \times 4$ based, the prediction is done in the spatial domain using one of nine prediction modes. There are six additional prediction modes relative to the AIC mode in H.263+. These additional prediction modes are diagonal. The other modes are (1) the average of the block above and to the left, (2) column based from above, and (3) row based from the left. See below for a detailed description. In the reference model, the choice of coding mode is based on SAD.

A $4 \times 4$ block is to be coded (pixels labeled a to $p$ below). The pixels A to $P$ and $X$ from neighboring blocks are already decoded and may be used for prediction. "//" means division with rounding.
XABCDEFGH
I a b c d
Jef $g h$
K i j k l
L m n ○ p
M
N
0
P
Under some situations pixels $A, B, C, D$ or $I, J, K, L$ or $X$ are not available for use at the decoder. These situations include

1. These pixels are located outside the picture boundary
2. These pixels belong to another independent slice

In these cases, modes that require these pixels will not be encountered by the decoder. Similarly, pixels E,F,G,H or M,N,O,P may also not be available for use at the decoder. These situations include the ones above with the additional case
3. These pixels are located in parts of the current frame that have yet to be decoded and reconstructed

In these situations the decoder shall use the value of $D$ for pixels E,F,G,H when they are not available. T he decoder shall use the value of $L$ for pixels M,N,O,P when they are not available. For example,

E, $\mathrm{F}, \mathrm{G}, \mathrm{H}$ are not valid for $4 \times 4$ blocks on the right edge of the $16 \times 16$ macroblock except the top row when the macroblock is not at the right edge of the picture. $\mathrm{M}, \mathrm{N}, \mathrm{O}, \mathrm{P}$ are only valid for $4 \times 4$ blocks on the left edge of the $16 \times 16$ macroblock except on the bottom row.

## Mode 0:

Generally all pixels are predicted by (A+B+C+D+I+J+K+L)//8. If four of the pixels are outside the picture, the average of the remaining four is used for prediction. If all 8 pixels are outside the picture the prediction for all pixels in the block is set to 128. A block may therefore always be predicted in this mode.

## Mode 1:

If pixels $A, B, C, D$ are inside the picture, $a, e, i, m$ are predicted by $A$, b,f,j,n by B etc.

## Mode 2:

If pixels I,J,K,L are inside the picture, $a, b, c, d$ are predicted by $I$, e,f,g,h by J etc.

## Mode 3:

This mode is used only if all $A, B, C, D, I, J, K, L, X$ are inside the picture. This is a diagonal prediction. m is predicted by (L+2K+J)//4
i,n are predicted by
e,j,o are predicted by
$a, f, k, l$ are predicted by
b,g,l are predicted by
c,h are predicted by
(L+2K+J) //4
d is predicted by
$(\mathrm{K}+2 \mathrm{~J}+\mathrm{I}) / / 4$
$(J+2 I+X) / / 4$
$(\mathrm{I}+2 \mathrm{X}+\mathrm{A}) / / 4$
$(X+2 A+B) / / 4$
$(\mathrm{A}+2 \mathrm{~B}+\mathrm{C}) / / 4$
$(B+2 C+D) / / 4$

## Mode 4-8:

These diagonal modes are used only if all $A, B, C, D, I, J, K, L, X$ are inside the picture. When $E, F, G, H$ are not valid predictors $D$ is used instead. When $M, N, O, P$ are not valid predictors $L$ is used instead. E, F, G, H are not valid for $4 \times 4$ blocks on the right edge of the $16 \times 16$ macroblock except the top row right edge block when the macroblock is not at the right edge of the picture. M,N,O,P are only valid for $4 x 4$ blocks on the left edge of the $16 \times 16$ macroblock which are not on the bottom row.

## Mode 4:

a is predicted by b, e are predicted by
c,f,i are predicted by
d,g,j,m are predicted by
$h, k, n$ are predicted by
l,o are predicted by $p$ is predicted by

## Mode 5:

a,j are predicted by
b,k are predicted by
c,l are predicted by d is predicted by
$e, n$ are predicted by
f,o are predicted by
g,p are predicted by
h is predicted by
i is predicted by
$m$ is predicted by
$(X+A) / / 2$
$(\mathrm{A}+\mathrm{B}) / / 2$
$(\mathrm{~B}+\mathrm{C}) / / 2$
$(\mathrm{A}+\mathrm{B}) / / 2$
$(\mathrm{~B}+\mathrm{C}) / / 2$
$(C+D) / / 2$
$(I+2 X+A) / / 4$
$(X+2 A+B) / / 4$
$(A+2 B+C) / / 4$
$(B+2 C+D) / / 4$
$(X+2 I+J) / / 4$
$(I+2 J+K) / / 4$

$$
1+7 z 0+\pi / 17
$$

## Mode 6:

a is predicted by
b,i are predicted by
c, j are predicted by
d,k are predicted by
l is predicted by e is predicted by $f, m$ are predicted by $g, n$ are predicted by $h, o$ are predicted by p is predicted by

## Mode 7:

a is predicted by
b is predicted by
c, e are predicted by d,f are predicted by g,i are predicted by
h, j are predicted by
l,n are predicted by
$\mathrm{m}, \mathrm{k}$ are predicted by
o is predicted by
p is predicted by

## Mode 8:

a,g are predicted by $b, h$ are predicted by
c is predicted by
d is predicted by
e,k are predicted by
f,l are predicted by
i,o are predicted by
j,p are predicted by
$m$ is predicted by
$n$ is predicted by
$(2 \mathrm{~A}+2 \mathrm{~B}+\mathrm{J}+2 \mathrm{~K}+\mathrm{L}) / / 8$
$(\mathrm{B}+\mathrm{C}) / / 2$
$(C+D) / / 2$
$(D+E) / / 2$
$(E+F) / / 2$
$(\mathrm{A}+2 \mathrm{~B}+\mathrm{C}+\mathrm{K}+2 \mathrm{~L}+\mathrm{M}) / / 8$
$(B+2 C+D) / / 4$
$(C+2 D+E) / / 4$
$(D+2 E+F) / / 4$
$(\mathrm{E}+2 \mathrm{~F}+\mathrm{G}) / / 4$
$(B+2 C+D+2 I+2 J) / / 8$
$(C+2 D+E+I+2 J+K) / / 8$
$(D+2 E+F+2 J+2 K) / / 8$
$(\mathrm{E}+2 \mathrm{~F}+\mathrm{G}+\mathrm{J}+2 \mathrm{~K}+\mathrm{L}) / / 8$
$(\mathrm{F}+2 \mathrm{G}+\mathrm{H}+2 \mathrm{~K}+2 \mathrm{~L}) / / 8$
$(\mathrm{G}+3 \mathrm{H}+\mathrm{K}+3 \mathrm{~L}) / / 8$
$(\mathrm{L}+2 \mathrm{M}+\mathrm{N}) / / 4$
$(\mathrm{G}+\mathrm{H}+\mathrm{L}+\mathrm{M}) / / 4$
$(\mathrm{M}+\mathrm{N}) / / 2$
$(M+2 N+O) / / 4$

```
(X+I)//2
(I+2X+A)//4
(X+2A+B)//4
(A+2B+C)//4
(I+J)//2
(X+2I+J)//4
(J+K)//2
(I+2J+K)//4
(K+L) / /2
(J+2K+L) / / 4
```

For chroma blocks the mode used is the mode of the corresponding upper left luma block.

### 4.3.6 $16 \times 16$ Intra Coding

For Intra16x16 macroblocks, one of four prediction modes are used to form a $16 \times 16$ prediction for the entire macroblock. Three modes are similar to modes $0-2$ for $4 \times 4$ intra plus a new planar prediction mode. The image residual of Intral6x16 macroblocks are Double Transformed (see section QQ).

Define $P(i,-1), i=0 . .15$ to be the 16 pixels above the macroblock to be predicted, and $P(-1, j), j=0 . .15$ to be the 16 pixels to the left of the macroblock to be predicted.

## Mode 0: DC Prediction

If all $P(i,-1)$ and $P(-1, i)$ are inside the picture and current slice then all 256 pixels are predicted by

$$
\text { pred }=\left(\left(\sum_{i=0}^{15} P(i,-1)+P(-1, i)\right)+16\right) \gg 5
$$

If $P(i,-1)$ are inside the picture and current slice then all 256 pixels are predicted by

$$
\text { pred }=\left(\left(\sum_{i=0}^{15} P(i,-1)\right)+8\right) \gg 4
$$

If $P(-1, i)$ are inside the picture and current slice then all 256 pixels are predicted by

$$
\text { pred }=\left(\left(\sum_{i=0}^{15} P(-1, i)\right)+8\right) \gg 4
$$

If all 32 pixels are outside the picture, the prediction for all pixels in the block is set to 128. A block may therefore always be predicted in this mode.

## Mode 1: Vertical Prediction

If pixels $P(i,-1), i=0 . .15$ are inside the picture and current slice, $P(0, j), j=0.15$ are predicted by $P(0,-1)$ etc.

## Mode 2: Horizontal Prediction

If pixels $P(-1, j), j=0 . .15$ are inside the picture and current slice, $P(i, 0), i=0 . .15$ are predicted by $P(-1,0)$ etc.

## Mode 3: Planar Prediction

This mode is used only if all $P(i,-1), i=0 . .15$ and $P(-1, j)$, j=0..15 are inside the picture and current slice. The following calculations are performed:

$$
\begin{aligned}
& H=\sum_{i=1}^{8} i \cdot(P(7+i,-1)-P(7-i,-1)) \\
& V=\sum^{8} j \cdot(P(-1,7+j)-P(-1,7-j))
\end{aligned}
$$

$$
\begin{aligned}
& j=1 \\
& a= 16 x(P(-1,15)+P(15,-1)) \\
& b=(H+(H \gg 2)) \gg 4 \\
& c=(V+(V \gg 2)) \gg 4
\end{aligned}
$$

And finally the actual prediction:

$$
\operatorname{pred}(i, j)=(a+b \cdot(i-7)+c \cdot(j-7)+16) \gg 5
$$

All calculations shall be integer. No divisions (only shifts) are needed, and all calculations shall be within 16 bits.

For chroma the mode used is the mode chosen for luma, except when the luma mode is 3 then mode 0 is used. Modes 0,1 , and 2 are predicted in the same way as luma except $8 \times 8$ blocks are used.

### 4.3.7 4x4 based transform

For the transform to the frequency domain of the residual after INTRA coding or motion prediction, a $4 \times 4$ pixel block transform is used instead of the H.263+ 8x8 pixel block size. The transform is defined in section 4.3.7.1.
4.3.7.1 Exact integer transform instead of DCT

A $4 x 4$ integer transform is used for image residuals. By having an exact definition of the inverse transform, there is no encoder/decoder mismatch. The transformation of the pixels $a, b, c, d$ into four transform coefficients in one dimension is defined by:
$A=13 a+13 b+13 c+13 d$
$B=17 a+7 b-7 c-17 d$
$C=13 a-13 b-13 c+13 d$
$D=7 a-17 b+17 c-7 d$
The inverse transform is defined by:
$a^{\prime}=13 A+17 B+13 C+7 D$
$\mathrm{b}^{\prime}=13 \mathrm{~A}+7 \mathrm{~B}-13 \mathrm{C}-17 \mathrm{D}$
$c^{\prime}=13 A-7 B-13 C+17 D$
$d^{\prime}=13 A-17 B+13 C-7 D$
The relationship between the transform in one dimension without normalization is $a^{\prime}=676 \mathrm{x}$ a. This is used in the quantization step (see below). The actual transform is 2D and since it is a separable transform, it implemented as a horizontal 1D transform followed by a vertical 1D transform.

### 4.3.7.2 Double Transform

An additional $4 \times 4$ transform is used for the 16 DC coefficients of the $164 \times 4$ transforms inside a macroblock. The coefficients of this second transform are coded and transmitted as a block in addition to the 16
$4 \times 4$ luma blocks (each then having only 15 coefficients). Since we use the same integer transform to DC coefficients, we have to perform additional normalization to those coefficients, which implies a division by 676. To avoid the division we performed normalization by $49 / 2^{15}$ on the encoder side and $48 / 2^{15}$ on the decoder side, which gives sufficient accuracy.

### 4.3.8 Quantization

The quantization is table-based and designed in such a way that the bit usage as a function of the quantization parameter is fairly linear. In H. 263+, this function is highly non-linear. In the encoder and decoder, the $Q P$ range $0-31$ is mapped into the tables $A[Q P]$ and $B[Q P]$, respectively, where the relationship between $A[]$ and $B[]$ is:

$$
A[Q P] \times B[Q P] \times 676^{2}=2^{34}
$$

with

$$
\left.\begin{array}{r}
\mathrm{A}(\mathrm{QP}=0, \ldots, 31)=\{620,553,492,439,391,348,310,276,246,219,195, \\
174,155,138,123,110,98,87,78,69,62,55,49,44,39,35, \\
31,27,24,22,19,17\}
\end{array}\right] \begin{aligned}
& \mathrm{B}(\mathrm{QP}=0, \ldots, 31)=\{60,67,76,85,96,108,121,136,152,171,192,216, \\
& 242,272,305,341,383,432,481,544,606,683,767,854,963, \\
& 1074,1212,1392,1566,1708,1978,2211\}
\end{aligned}
$$

Quantization of coefficient level $K$ is performed as

$$
\operatorname{LEVEL}=(((\mathrm{K} \gg 4) \times \mathrm{A}[Q P] \times 32) \gg 16)+\mathrm{f}) \gg 5
$$

where $f$ is 5 for Inter macroblocks and 10 for Intra macroblocks. Dequantization is defined as

```
K' = ((LEVEL X B[QP]) + 8)>>4
```

For the coefficients of the second transform in INTRA_16x16 macroblocks quantization is performed as

$$
\text { LEVEL }=(\mathrm{K} \times \mathrm{A}[\mathrm{QP}]+\mathrm{f}) \gg 20
$$

where $f$ is $0 \times 55555$, while dequantization is as above. The DC coefficient of this block is given a lower QP than the AC coefficients:
luma_quant_DC[32] =
$\{0,1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22$ $, 22,22,23,23,23,24,24,25,25\}$;

Quantization is performed the same way for chroma as for luma, except the the $Q P$ value used is derived from the $Q P$ used for luma using the tables below. The chroma DC coefficient is given an even lower $Q P$ than the chroma AC coefficients:

```
chroma_QP = chroma_QP_map[luma_QP];
chroma_QP_map_AC[32] =
    {0,1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,17,18,
    19,20,20,21,22,22,23,23,24,24,25,25};
```

```
chroma_QP_map_DC[32] =
    {0,0,0,1,2,3,4,5,6,7, 8, 9,10,11,12,13,14,15,15,16,
    17,18,18,19,20,20,21,21,22,22,23,23};
```

After inverse transformation, the pixel values will then be $2^{10}$ too high, and a 10 bit downshift is needed as a part of the frame reconstruction. The definition of the transform and quantization is designed so that no overflow will occur with the use of 32 -bit arithmetic.

```
4.3.8.1 Dynamic Range for Various Methods.
A*B*676*676 = 2^34
Transform Input = 9 Bits per pixel
Double Xfrm input is DC coeff of 16 4x4 blocks normalized by 49/2^15.
So the input to the remaining chain is 11 bits.
Transform Intermediates = 13*13*4*4 * 2^9 = 21 Bits.
Transform Output = 21 Bits
(11 bits can represent normalized Xfrm at QPO)
Quant Input = 21Bits
Transform Coeff Value Reduced to 17 Bits and then saturated to 16 bits
during Quantization. (Corresponds to $1/2 LSB Granularity for Table A)
The down shift & saturation is not done for the double Xfrm.
Quant Output = 10 Bits. (signed)
(In case of Double Xfrm and SuperVLC, if the output exceeds 10Bits, the
Double transform is not done. The MB is recoded as INTRA MB)
Tranform + Quant normalization = 2^20
QVAL * B = Level * [A * B * 676 * 676] / [13 * 13] * 2^20 < 2^16
Dquant Input = 10 Bits
Dquant Intermediates = 16 Bits
Dquant Normalisation = 2^4
Dquant Output = Max 12 Bits
Ixfrm Input = 12 Bits
Ixfrm Intermediate = 13*13 * 2^12 < 2^20
Ixfrm Normalization = 2^10
Ixfrm Output = 9 bits
```


### 4.3.9 Deblocking / smoothing filters

For I, P and B frames (B frames optional in the encoder) an in-loop deblocking filter very similar to our previous deblocking filter is used. Chroma planes are deblock filtered for I frames only. For all frames a combined smoothing / deblocking standalone postfilter is used. Combined smoothing / deblocking means that all pixels along the $4 x 4$ grid are filtered more strongly than other pixels.

### 4.3.9.1 In-loop deblocking

The in-loop deblocking filter is similar to the in-loop deblocking filter defined in annex $J$ in $H .263$ version 2 , but works on $4 x 4$ edges instead of $8 x 8$ edges, and only one instead of two pixels on each side of the edge is filtered.

The filter operations are performed across $4 \times 4$ block edges at the encoder as well as on the decoder side. The reconstructed image data
(the sum of the prediction and the reconstructed prediction error) are clipped to the range 0 to 255. Then the filtering is applied, which alters the picture that is to be stored in the picture store for future prediction. The filtering operation includes an additional clipping to ensure the resulting pixel values stay in the range 0... 255.

The deblocking filter operates using a set of four (clipped) pixel values on a horizontal or vertical line in the reconstructed picture, denoted as A, B, C and D, of which A and B belong to one block called block1 and C and D belong to a neighboring block called block2 which is to the right or below blocki. All edges of intra blocks are always filtered while edges of non-intra blocks are filtered only if the block has at least one coded coefficient or if any motion vector component differs from its neighbor by more than 3 .

The pixels denoted B and C are replaced by B1 and C1, which are computed as:
$\mathrm{B1}=\operatorname{clip}(\mathrm{B}+\mathrm{d} 2)$
C1 = clip(C - d2)
d2 = clipd1(d1, strength[QP])
$d 1=((A-B-C-D) \ll 2) \gg 3$
strength [QP] =
$\{0,0,0,0,0,0,0,0,0,0,0,1,1,1,1,1,2,2,2,2,2,3,3,3,3,4,4,4,4,5,5,5\}$
The above strength table is the default table; alternate strength tables are available to be used, however keeping the encoder and decoder in sync (both using the same table) must be handled outside of the bitstream as no means is provided for signaling the use of an alternate table. The alternate strength tables are:
$\{0,0,0,0,0,0,0,0,0,0,0,1,1,1,1,1,1,2,2,2,2,2,2,3,3,3,3,3,3,4,4,4\}$
$\{0,0,0,0,0,0,0,0,0,0,0,1,1,1,1,1,2,2,2,2,2,3,3,3,3,4,4,4,4,5,5,5\}$
$\{0,0,0,0,0,0,0,0,0,0,0,1,1,1,1,2,2,2,2,2,3,3,3,4,4,4,5,5,5,6,6,6\}$
$\{0,0,0,0,0,0,0,0,0,0,0,1,1,1,2,2,2,3,3,3,4,4,4,5,5,5,6,6,6,7,7,7\}$
$\{0,0,0,0,0,0,0,0,0,0,0,1,1,2,2,3,3,4,4,4,5,5,5,6,6,6,7,7,7,8,8,8\}$
$\{0,0,0,0,0,0,0,0,0,0,0,1,2,3,4,4,4,5,5,5,6,6,6,7,7,7,8,8,8,9,9,9\}$
The function clip(x) clips $x$ to the range $0 \ldots 255$. The function clipd1 (x, lim) clips $x$ to the range $+/-$ abs (lim).

### 4.3.9.2 Combined smoothing/deblocking postfilter

The combined smoothing / deblocking postfilter filters all pixels, but to obtain the deblocking effect, the filter strength on pixels next to $8 x 8$ and $4 \times 4$ block edges is stronger. Only the filtering in one dimension will be defined. To obtain a two-dimensional effect the filter is first applied in one direction (vertically), then the other direction (horizontally)*.

Given the pixels $A, B, C, D, E$, the pixel $C$ is replaced by $C 1$, which is computed as:
C1 = clip (C + d2)
d2 = clipd1(d1, strength[QP][edgeposition])
$d 1=(A+2 B-6 C+2 D+E) \gg 3$

* Whether the postfilter is first applied vertically or horizontally, can be changed to accommodate optimization preferences.

The edge_position table provides a pel position dependent index into the strength table, the index into the edge_position table being the position of the pel to be filtered with respect to an $8 x 8$ block edge.
edge_position $=\{2,1,0,1,1,0,1,2\}$
There are four sets of strength tables, default is strength_select $=1$.


### 4.4 B Frames

RV8 supports the same type of $B$ frame mode as in H.263+ (Annex O B pictures) except no Bi-Dir prediction types. For the direct prediction mode the prediction type is determined by the reference macroblock prediction type ( $16 \times 16$ or $8 \times 8$ ), and is $16 \times 16$ with zero motion vector when the reference macroblock is INTRA or SKIPPED.
In $B$ frames, there are four methods for motion compensating a macroblock - forward, backward, direct, and skipped. Forward and backward macroblocks are estimated and differentially encoded in a similar fashion to $16 x 16$ MV's in a $P$ frame, except the reference picture that is used can be either the preceding or future $P$ frame, respectively.

A direct macroblock uses as a reference the motion vectors from the macroblock in the same spatial position in the future $P$ frame. There may be one $16 \times 16$ motion vector, or four $8 \times 8$ motion vectors in the reference frame (if the reference macroblock is Intra coded, it is treated as a zero motion vector for these purposes). The forward and backward motion vectors are derived by scaling the reference motion
vectors based on the relative distance between the $B$ frame and the surrounding $P$ frames. These derived motion vectors are then clipped to ensure that the referenced blocks lie within the padded image. The motion compensation prediction is formed by averaging the motion compensated block from the future $P$ frame with the motion compensated block from the previous $P$ frame. A weighted average is used, where the weighting factors are proportional to the temporal distance between the $B$ frame and the corresponding $P$ frame (iRatio0, iRatiol). The motion compensated residual is then transformed and coded. The chroma is compensated with the same scaled motion vectors.
The forward and backward motion vectors for direct mode macroblocks are calculated as follows.

$$
\begin{aligned}
& M V_{F}=\left(T R_{B} * M V\right) / T R_{D} \\
& M V_{B}=\left(T R_{B}-T R_{D}\right) * M V / T R_{D}
\end{aligned}
$$

Implemented as:

```
iRatio0 = (TR 
MV }\textrm{E}=(\mathrm{ (iRatio0 * MV REF X + TR_RND) >> TR_SHIFT
MV
MV BX = MV FX - MV REF X
MVBY = MV FY - MV REFY
TR_SHIFT = 14
TR_RND = (1 << (TR_SHIFT - 1)
iRatio1 = ((TR D - TR B ) << TR_SHIFT) / TR D;
```

And Weighted Average:

```
U32 v1 = (U32) pfi,j << 7;
U32 v2 = (U32) pp i,j << 7;
U32 w = ((v1 * iRatio0) >> 16) + ((v2 * iRatio1) >> 16);
pb i,j = (U8) ((w + 0x10) >> 5);
```

pf = pixel from future reference frame
$\mathrm{pb}=$ pixel from prev reference frame
pb = predicted direct mode pixel

Where the vector component $M V_{F}$ is the forward motion vectors, $M V_{B}$ is the backward motion vector, and $M_{\text {Ref }}$ represents the motion vectors in the corresponding macroblock in the subsequent reference picture. $T R_{D}$ is the temporal distance between the temporally previous and next reference frame, and $T R_{B}$ is the temporal distance between the current frame and previous reference frame. Since iRatio0 <=1, no clipping is needed for $\mathrm{MV}_{\mathrm{F}}$. Clipping is need for $\mathrm{MV}_{\mathrm{B}}$. The luma frame data is padded by 16 on each side, and the subpel interpolation filter is 4-tap.

```
right edge: pos_x*3 + MVx < (width + 16-16-2)*3
```

left edge: pos_x*3 + MVx > -(16-1)*3
upper edge: pos_y*3 + MVy > -(16-1)*3
bottom edge: pos_y*3 + MVy < (height + 16-16-2)*3
assuming reference MV is ok.

In case the the corresponding macroblock in the subsequent reference picture is of type INTER_4V, four corresponding $M_{F}$ and $M V_{B}$ 's are calculated and four $8 \times 8$ such blocks are averaged.

A skipped macroblock in a $B$ frame is motion compensated the same way as a direct macroblock, and it is understood that no transform coefficients are sent for the entire macroblock.

### 4.5 Reference Picture Resampling (RPR)

RV8 supports RPR in a manner identical to RVG2. (H263+ based) Reference picture resampling allows an encoder and decoder to change image dimensions on the fly, without having to generate a key frame. Rather than generating a key frame for the first image having the new dimensions, the encoder simply interpolates the previous reference image to the new size before using it as a predictor for the next frame. The implementation is exactly like $H 263+$ spec annexes $O, P$, and Q. All Edge displacement, Warping, and Fill parameters are zero. RPR information is transmitted in the SPO (once). The front-end uses the ILVC_MSG_ID_Set_RealVideo_RPR_Data to communicate this information to the back-end. Then, if num_sizes != 0, the back-end will read the following information from the slice header:
[TR (as before)]
if num_sizes != 0
PCTSZ $=$ getbits(log2(num_sizes))
[MBA (as before)]
The maximum number of sizes is currently limited to 8 . The decoder will use PCTSZ as an index to look up in the array transmitted in SPO:
new_width $=$ horizontal_size[PCTSZ]
new_height = vertical_size[PCTSZ]

### 4.6 CPU Scalability

<Section to be expanded.>
Based on experiments the following Decoder CPU scalability is allowed. Dropping B-frames.
ß Snap to Integer Motion Vectors in B-frames.
Disable De-Blocking in B-Frames.

## 5 Bitstream Syntax

This is the specification of the bitstream syntax. The bitstream is not based on any standard and is not upward or downward compatible with other RealVideo Codecs.

### 5.1 Picture Layer

For RealVideo the SPO is used to signal global stream parameters. There is no Picture Header for RealVideo but the slice layer header has been kept. Every picture starts with a Slice Layer Header.

Every stream is Initialised with
32 bit SPO FLAG
32 bit Bitsream Version
Variable Number of Bytes representing (Width/4) followed by (Height/4)
of the Re-Sampled Images.
Number of Re-Sampled Images can be got from
RV20_SPO_BITS_NUMRESAMPLE_IMAGES. This is later used to the read the PCT SIZE variable in the slice header. PCT SIZE is represented in the bitstream by the least bits required to represent RV20_SPO_BITS_NUMRESAMPLE_IMAGES. The value being the index into the Width and Height Table constructed from the SPO information.
5.1.1 SPO Flags

|  | Name | Mask | Description |
| :---: | :---: | :---: | :---: |
| 1 | RV20_SPO_FLAG_UNRESTRICTEDMV | 0x00000001 | Annex D |
| 2 | RV20_SPO_FLAG_EXTENDMVRANGE | 0x00000002 | IMPLIES NEW VLC TABLES |
| 3 | RV20_SPO_FLAG_ADVMOTIONPRED | 0x00000004 | ANNEX F |
| 4 | RV20_SPO_FLAG_ADVINTRA | 0x00000008 | ANNEX I |
| 5 | RV20_SPO_FLAG_INLOOPDEBLOCK | 0x00000010 | ANNEX J |
| 6 | RV20_SPO_FLAG_SLICEMODE | 0x00000020 | ANNEX K |
| 7 | RV20_SPO_FLAG_SLICESHAPE | 0x00000040 | ```0: free running; 1: rect``` |
| 8 | RV20_SPO_FLAG_SLICEORDER | $0 \times 00000080$ | 0: sequential; 1: arbitrary |
| 9 | RV20_SPO_FLAG_REFPICTSELECTION | $0 \times 00000100$ | ANNEX N |
| 10 | RV20_SPO_FLAG_INDEPENDSEGMENT | 0x00000200 | ANNEX R |
| 11 | RV20_SPO_FLAG_ALTVLCTAB | 0x00000400 | ANNEX S |
| 12 | RV20_SPO_FLAG_MODCHROMAQUANT | 0x00000800 | ANNEX T |
| 13 | RV20_SPO_FLAG_BFRAMES | 0x00001000 | SETS DECODE PHASE |
| 14 | RV20_SPO_BITS_DEBLOCK_STRENGTH | 0x0000e000 | deblocking strength |
| 15 | RV20_SPO_BITS_NUMRESAMPLE_IMAGES | $0 \times 00070000$ | max of 8 RPR images sizes |
| 16 | RV20_SPO_FLAG_FRUFLAG | 0x00080000 | FRU BOOL: if 1 then OFF |
| 17 | RV20_SPO_FLAG_FLIP_FLIP_INTL | 0x00100000 | FLIP-FLOP interlacing |
| 18 | RV20_SPO_FLAG_INTERLACE | 0x00200000 | de-interlacing prefilter has been applied |
| 19 | RV20_SPO_FLAG_MULTIPASS | 0x00400000 | Encoded with multipass |
| 20 | RV20_SPO_FLAG_INV_TELECINE | 0x00800000 | Inverse-telecine prefilter has been applied |
| 21 | RV20_SPO_FLAG_VBR_ENCODE | 0x01000000 | Encoded using VBR |

### 5.2 Slice Layer

Once the stream has been initialized, the RealVideo data is received as a series of slices that follow the syntax given in Figure 5.1. The Slice Header is indicated in this diagram as the first 9 fields of every slice.

## SLICE LAYER



Figure 5.1 Slice Layer

Each slice in the bitstream is corresponds to a independently decodable section. Coding across a slice boundary is defined as in H.263. Motion vector prediction and intra mode prediction behaves as if the area outside the current slice is outside the picture.

Field Lengths


### 5.2.1 ECC

When ECC bit is set the decoder shall skip that slice. ECC Packets contains forward error correction data and is not normative to the decoder. Layers above the decoder should perform the error correction and consume these packets.
5.3 Macroblock Layer

5.3.1 MB Mode (Macroblock Mode)

The MB mode is signaled using the general VLC with code numbers as defined in the table below. If $Q P$ needs to be transmitted, one of the [QP] modes should be chosen.

| $\mathbf{N}$ | MB Mode (I) | MB Mode (P) | MB Mode (B) |
| :--- | :--- | :--- | :--- |
| 0 | INTRA | SKIPPED | SKIPPED |
| 1 | INTRA_16x16 | INTER | DIRECT |
| 2 |  | INTER_4V | FORWARD |
| 3 |  | INTRA | BACKWARD |
| 4 |  | INTRA 16x16 | INTRA |
| 5 |  | INTER[QP] | INTRA 16x16 |
| 6 |  | INTER_4V [QP] | DIRECT [QP] |
| 7 |  | INTRA [QP] | FORWARD [QP] |
| 8 |  | INTRA 16x16[QP] | BACKWARD [QP] |
| 9 |  |  | INTRA [QP] |
| 10 |  |  | INTRA 16x16[QP] |

## Table 2 MB modes

Skip in a $P$ frame is defined as INTER prediction with zero motion vectors and no coefficients. Skip in a B frame is defined as Direct prediction and no coefficients.

### 5.3.2 QP (Quantization Parameter)

If the MB mode indicates that $Q P$ ( $0 . . .31$ ) should be transmitted, a 5 bit FLC is used, as in H.263. Note this is not available in I frames.

### 5.3.3 4x4 Intra Prediction

The signaling of the $4 x 4$ intra prediction mode only occurs for INTRA $4 \times 4$ type macroblocks.

Since each of the $4 \times 4$ luma blocks shall be assigned a prediction mode, this will require a considerable number of bits if coded directly. We have therefore tried to find a more efficient way of coding the mode information. First of all we observe that the chosen prediction mode for a block is highly correlated with the prediction modes for adjacent blocks. We have three blocks, $A, B$, and $C$, as given in figure a) below. When the prediction modes of $A$ and $B$ are known (including the case that A or B or both are outside the picture) an ordering of the most probable, next most probable etc. mode of $C$ can be found. This ordering table is listed below in the shortened length example Table 3 followed by the complete definition. For each prediction mode of $A$ and $B$ a list of 9 numbers is given.
In case of INTRA_16x16 the $4 \times 4$ block in consideration $A$, or $B$ is given the mode number same as the INTRA_16x16 prediction mode. In case of other MBtypes, $A$ and $B$ are given mode number 0. (see section 5.3.4)

Example: The prediction mode for $A$ and $B$ is 1. The list "1 $25 \begin{array}{lllllll}5 & 6 & 4\end{array}$ 87 " indicates that mode 1 is also the most probable mode for block C. Mode 2 is the next most probable one. In the bitstream there will for instance be information that Prob0 = 1 (see Tablel) indicating that the next most probable mode after 1 shall be used for block C. In our example this means Intra prediction mode 2 . If Prob0 had been 2, the mode would have been 5. Use of '-' in the table indicates that this mode can not occur in this position.

For more efficient coding, the intra prediction mode for two $4 x 4$ luma blocks are coded in one codeword (Prob0 and Probl in the table below). The transmission order for the 8 codewords in one macroblock is
indicated in figure b) below. The two probabilities are signaled using the general VLC with code numbers as defined below.

a)

| 0 | 0 | 1 | 1 |
| :--- | :--- | :--- | :--- |
| 2 | 2 | 3 | 3 |
| 4 | 4 | 5 | 5 |
| 6 | 6 | 7 | 7 |

b)

Figure 3 Intra prediction

| $B \backslash A$ | outside |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| outside | 0 | - | - | - | - | - | - | - | - |
| 0 | 0 | 2 | - | - | - | - | - | - | - |
| 1 | - | - | - | - | - | - | - | - | - |
| 2 | 2 | 0 | - | - | - | - | - | - | - |
| 3 | - | - | - | - | - | - | - | - | - |
| 4 | - | - | - | - | - | - | - | - | - |
| 5 | - | - | - | - | - | - | - | - | - |
| 6 | - | - | - | - | - | - | - | - | - |
| 7 | - | - | - | - | - | - | - | - | - |
| 8 | - | - | - | - | - | - | - | - | - |


| 0 | 0 |  |  | - | - | - | - | - |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 0 | - |  |  |  |  |  |  |  |
| 0 | 2 | 1 | 6 | 4 | 8 | 5 | 7 | 3 |
| 1 | 0 | 2 | 6 | 5 | 4 | 3 | 8 | 7 |
| 2 | 8 | 0 | 1 | 7 | 4 | 3 | 6 | 5 |
| 2 | 0 | 1 | 3 | 8 | 5 | 4 | 7 | 6 |
| 2 | 0 | 1 | 4 | 6 | 7 | 8 | 3 | 5 |
| 0 | 1 | 5 | 2 | 6 | 3 | 8 | 4 | 7 |
| 0 | 1 | 6 | 2 | 4 | 7 | 5 | 8 | 3 |
| 2 | 7 | 0 | 1 | 4 | 8 | 6 | 3 | 5 |
| 2 | 8 | 0 | 1 | 7 | 3 | 4 | 5 | 6 |

1

Table 3 Example Intra mode probability orders
Complete intra mode probability order definition, all modes: // A=outside
$\{0,9,9,9,9,9,9,9,9\}, / / B=o u t s i d e$
$\{0,2,9,9,9,9,9,9,9\}, / / B=m o d e 0$
$\{9,9,9,9,9,9,9,9,9\}, / / B=m o d e 1$
$\{2,0,9,9,9,9,9,9,9\}, ~ / / B=m o d e 2$
$\{9,9,9,9,9,9,9,9,9\}, / / B=m o d e 3$
$\{9,9,9,9,9,9,9,9,9\}, 1 / B=\operatorname{mode} 4$
$\{9,9,9,9,9,9,9,9,9\}, / / B=$ mode 5
$\{9,9,9,9,9,9,9,9,9\}, \quad / / \mathrm{B}=$ mode6
$\{9,9,9,9,9,9,9,9,9\}, / / B=$ mode 7
$\{9,9,9,9,9,9,9,9,9\}, / / B=m o d e 8$
// A=mode0
$\{0,1,9,9,9,9,9,9,9\}$,
$\{0,2,1,6,4,8,5,7,3\}$,
$\{1,0,2,6,5,4,3,8,7\}$,
$\{2,8,0,1,7,4,3,6,5\}$,
$\{2,0,1,3,8,5,4,7,6\}$,
$\{2,0,1,4,6,7,8,3,5\}$,
$\{0,1,5,2,6,3,8,4,7\}$,
$\{0,1,6,2,4,7,5,8,3\}$,
$\{2,7,0,1,4,8,6,3,5\}$,
$\{2,8,0,1,7,3,4,5,6\}$,
/ / A=mode1
$\{1,0,9,9,9,9,9,9,9\}$,
$\{1,2,5,6,3,0,4,8,7\}$,
$\{1,6,2,5,3,0,4,8,7\}$,
$\{2,1,7,6,8,3,5,0,4\}$,
$\{1,2,5,3,6,8,4,7,0\}$, $\{1,6,2,0,4,5,8,7,3\}$, $\{1,5,2,6,3,8,4,0,7\}$,
$\{1,6,0,2,4,5,7,3,8\}$,
$\{2,1,7,6,0,8,5,4,3\}$,
$\{1,2,7,8,3,4,5,6,0\}$,
// A=mode2
$\{9,9,9,9,9,9,9,9,9\}$,
$\{0,2,1,8,7,6,5,4,3\}$,
$\{1,2,0,6,5,7,4,8,3\}$,
$\{2,8,7,1,0,6,4,3,5\}$,
$\{2,0,8,1,3,7,5,4,6\}$,
$\{2,0,4,1,7,8,6,3,5\}$,
$\{2,0,1,5,8,4,6,7,3\}$,
$\{2,0,6,1,4,7,8,5,3\}$,
$\{2,7,8,1,0,5,4,6,3\}$,
$\{2,8,7,1,0,4,3,6,5\}$,
// A=mode3
$\{9,9,9,9,9,9,9,9,9\}$,
$\{0,2,1,3,5,8,6,4,7\}$,
$\{1,0,2,5,3,6,4,8,7\}$,
$\{2,8,1,0,3,5,7,6,4\}$,
$\{3,2,5,8,1,4,6,7,0\}$,
$\{4,2,0,6,1,5,8,3,7\}$,
$\{5,3,1,2,8,6,4,0,7\}$,
$\{1,6,0,2,4,5,8,3,7\}$,
$\{2,7,0,1,5,4,8,6,3\}$,
$\{2,8,3,5,1,0,7,6,4\}$,
// A=mode 4
$\{9,9,9,9,9,9,9,9,9\}$,
$\{2,0,6,1,4,7,5,8,3\}$,
$\{1,6,2,0,4,5,3,7,8\}$,
$\{2,8,7,6,4,0,1,5,3\}$,
$\{4,2,1,0,6,8,3,5,7\}$,
$\{4,2,6,0,1,5,7,8,3\}$,
$\{1,2,5,0,6,3,4,7,8\}$,
$\{6,4,0,1,2,7,5,3,8\}$,
$\{2,7,4,6,0,1,8,5,3\}$,
$\{2,8,7,4,6,1,3,5,0\}$,
// A=mode5
$\{9,9,9,9,9,9,9,9,9\}$,
$\{5,1,2,3,6,8,0,4,7\}$,
$\{1,5,6,3,2,0,4,8,7\}$,
$\{2,1,5,3,6,8,7,4,0\}$,
$\{5,3,1,2,6,8,4,7,0\}$,
$\{1,6,2,4,5,8,0,3,7\}$,
$\{5,1,3,6,2,0,8,4,7\}$,
$\{1,6,5,2,0,4,3,7,8\}$,
$\{2,7,1,6,5,0,8,3,4\}$,
$\{2,5,1,3,6,8,4,0,7\}$,
// A=mode6
$\{9,9,9,9,9,9,9,9,9\}$,
$\{1,6,2,0,5,4,3,7,8\}$, $\{1,6,5,4,2,3,0,7,8\}$,
$\{2,1,6,7,4,8,5,3,0\}$,
$\{2,1,6,5,8,4,3,0,7\}$,
$\{6,4,1,2,0,5,7,8,3\}$,
$\{1,6,5,2,3,0,4,8,7\}$,
$\{6,1,4,0,2,7,5,3,8\}$,
$\{2,7,4,6,1,5,0,8,3\}$,
$\{2,1,6,8,4,7,3,5,0\}$,
// A=mode7
$\{9,9,9,9,9,9,9,9,9\}$,
$\{2,0,4,7,6,1,8,5,3\}$,
$\{6,1,2,0,4,7,5,8,3\}$,
$\{2,7,8,0,1,6,4,3,5\}$,
$\{2,4,0,8,3,1,7,6,5\}$,
$\{4,2,7,0,6,1,8,5,3\}$,
$\{2,1,0,8,5,6,7,4,3\}$,
$\{2,6,4,1,7,0,5,8,3\}$,
$\{2,7,4,0,8,6,1,5,3\}$,
$\{2,8,7,4,1,0,3,6,5\}$,
// A=mode8
$\{9,9,9,9,9,9,9,9,9\}$,
$\{2,0,8,1,3,4,6,5,7\}$,
$\{1,2,0,6,8,5,7,3,4\}$,
$\{2,8,7,1,0,3,6,5,4\}$,
$\{8,3,2,5,1,0,4,7,6\}$,
$\{2,0,4,8,5,1,7,6,3\}$,
$\{2,1,0,8,5,3,6,4,7\}$,
$\{2,1,6,0,8,4,5,7,3\}$,
$\{2,7,8,4,0,6,1,5,3\}$,
$\{2,8,3,0,7,4,1,6,5\}$
Note that the table above is the decoder table. Since this is the decoder table, given the intra prediction mode for $A$ and $B$, the mode for $C$ can be found from prob0 or prob1, as transmitted in the bitstream.
The next table defines the VLC coding for the Probo,Prob1 pairs. Given VLC code $\mathrm{N}, \mathrm{Prob0}=$ dec_iprob[ $\left.\mathrm{N}^{*} 2\right]$ and Prob1=dec_iprob[N*2+1].
const U8 dec_iprob[162] = \{
0 , 0,
// 1 bit
0,1, 1,0, // 3 bits
1,1, 0,2, 2,0, 0,3, // 5 bits
3,0, 1,2, 2,1, 0,4, // 7 bits
4,0, 3,1, 1,3, 0,5,
5,0, 2,2, 1,4, 4,1, // 9 bits
$0,6,3,2,1,5,2,3$,
5,1, 6,0, 0,7, 4,2,
2,4, 3,3, 6,1, 1,6,
7,0, 0,8, 5,2, 4,3, // 11 bits

```
2,5, 3,4, 1,7, 4,4,
7,1, 8,0, 6,2, 3,5,
5,3, 2,6, 1,8, 2,7,
7,2, 8,1, 5,4, 4,5,
3,6, 6,3, 8,2, 4,6,
5,5, 6,4, 2,8, 7,3,
3,7, 6,5, 5,6, 7,4,
4,7, 8,3, 3,8, 7,5, // 13 bits
8,4, 5,7, 4,8, 6,6,
7,6, 5,8, 8,5, 6,7,
8,6, 7,7, 6,8, 8,7,
7,8, 8,8,
};
```

Table 6 Intra prediction probability coding

### 5.3.4 16x16 Intra Prediction

The signaling of the16x16 intra prediction mode only occurs for INTRA $16 \times 16$ type macroblocks. The prediction mode for the $16 \times 16$ macroblock is coded as a 2-bit FLC:

```
Code Prediction
00 DC
01 Vertical, from above
10 Horizontal, from left
11 Planar
```


### 5.3.5 Motion Vectors

The motion vectors are differentially encoded. The predictor is found in a way very similar to the description in H.263+, including how to handle the cases where the block size chosen for the current macroblock is larger than the block size for one or more of the surrounding macroblocks. With no special edge conditions the predictor is the median of the motion vectors to the left, above, and above right, relative to the current block. See Figure 4 for details. If the macroblock is coded in $8 \times 8$ mode, the median candidates for block 0 are found in the blocks marked with boldface numbers. If the macroblock is coded in $16 \times 16$ mode, the candidates are found from the blocks in italic.

If there is no block above right the current block, a candidate is instead found above left, or left if above left does not exist. This is different from H. $263+$, where the zero vector is used in this case. If there is no block above, the block to the left is used. If there is no block to the left, the zero vector is used. Motion vectors are restricted to values which go no further than sixteen pels beyond picture edges and reference pictures must be padded 16 pels beyond the edges (eight for chroma planes) by replicating the edge pixels.


Depending on the $M B$ mode, from 0 to 4 motion vectors need to be transmitted. Each motion vector is transmitted as a horizontal and vertical component. The horizontal component is transmitted first, then the vertical component, and then the next vector. If more than one motion vector is to be sent, the transmission order is upper left block first, and then a regular right to left zig-zag scanning, as shown in Figure 5 for $8 x 8$ block sizes. See Table 4 for which code numbers to use. Each code number is transmitted using Structured UVLC. (see section 4.3.3)


8x8 block size

Figure 5 Motion vector transmission order

| $\mathbf{N}$ | Vecto |
| :--- | :--- |
| 0 | n |
| 1 | 1 |
| 2 | -1 |
| 3 | 2 |
| 4 | -2 |
| 5 | 3 |
| 6 | -3 |
| 7 | 4 |
| 8 | -4 |
| 9 | 5 |
| 10 | -5 |
| 11 | 6 |
| 12 | -6 |
| $\ldots$ | $\ldots$ |

Table 4 Motion vector code numbers
5.3.6 CBP (Coded Block Pattern)
5.3.6.1 CBP length and bit order

CBP contains 24 bits representing 16 luminance blocks and 4 * 2 chrominance blocks in a macroblock. Bits that are set to 1 correspond to coded $4 \times 4$ blocks, bits that are set to 0 correspond to skipped (empty) blocks. The following diagram gives the correspondence between bits and luma/chroma blocks.

Y

| $\mathrm{B}_{0}$ | $\mathrm{~B}_{1}$ | $\mathrm{~B}_{2}$ | $\mathrm{~B}_{3}$ |
| :--- | :--- | :--- | :--- |
| $\mathrm{~B}_{4}$ | $\mathrm{~B}_{5}$ | $\mathrm{~B}_{6}$ | $\mathrm{~B}_{7}$ |
| $\mathrm{~B}_{8}$ | $\mathrm{~B}_{9}$ | $\mathrm{~B}_{10}$ | $\mathrm{~B}_{11}$ |
| $\mathrm{~B}_{12}$ | $\mathrm{~B}_{13}$ | $\mathrm{~B}_{14}$ | $\mathrm{~B}_{15}$ |

Cr


5.3.6.2 The structure of CBP code.

The overall structure of $C B P$ codes is presented below.


The main CBP object, CBP descriptor is transmitted first using one of the canonic Huffman codes (see Section 5.4.6) corresponding to the current macro-block type, and quantizer step size.

In turn, values of the components of CBP descriptor indicate the presence of the subsequent code objects: 8x8 descriptors and CR bits. Among these, $8 x 8$ descriptors are transmitted first, using contextdependent canonic Huffman codes. CR bits required by the CBP descriptor are transmitted directly.

Below we describe each of these CBP code objects in details.
5.3.6.3 CBP descriptor.

CBP descriptor has the following components:


Composition rule:
Cbp_dsc $=\left(\left(\left(\left(\left(\left(C_{0} * 3+C_{1}\right) \star 3+C_{2}\right) \star 3+C_{3}\right) * 2+Y_{0}\right) * 2+Y_{1}\right) * 2+Y_{2}\right) \star 2+Y_{3} ;$

Mappings between the CBP bits and descriptor's components are established as follows:

| $\mathrm{Y}_{0}, \mathrm{Y}_{1}, \mathrm{Y}_{2}, \mathrm{Y}_{3}$ | $\left[\mathrm{B}_{0}, \mathrm{~B}_{1}, \mathrm{~B}_{4}, \mathrm{~B}_{5}\right], \quad\left[\mathrm{B}_{2}\right.$, <br> $\left[\mathrm{B}_{10}, \mathrm{~B}_{11}, \mathrm{~B}_{14}, \mathrm{~B}_{15}\right]$ |
| :--- | :--- |
| 0 | all 4 bits $=0$ |
| 1 | at least 1 bit $\left.!=0 \quad \mathrm{~B}_{7}\right], \quad\left[\mathrm{B}_{8}, \mathrm{~B}_{9}, \mathrm{~B}_{12}, \mathrm{~B}_{13}\right]$, |
| (8x8 descriptor to follow) |  |


| $\mathrm{C}_{0}, \mathrm{C}_{1}, \mathrm{C}_{2}, \mathrm{C}_{3}$ | $\left[\mathrm{~B}_{16}, \mathrm{~B}_{20}\right], \quad\left[\mathrm{B}_{17}, \mathrm{~B}_{21}\right], \quad\left[\mathrm{B}_{18}, \mathrm{~B}_{22}\right], \quad\left[\mathrm{B}_{19}, \mathrm{~B}_{23}\right]$ |
| :--- | :--- | :--- |
| 0 | both $(\mathrm{Cr}, \mathrm{Cb})$ bits $=0$ |
| 1 | only 1 bit (Cr or Cb$)=1$ (extra bit to follow) |
| 2 | both (Cr, Cb) bits $=1$ |

### 5.3.6.4 $8 x 8$ descriptor and contexts.

Each $8 x 8$ descriptor is represented by a non-zero group of 4 bits $\left[B_{0}, B_{1}, B_{4}, B_{5}\right],\left[B_{2}, B_{3}, B_{6}, B_{7}\right],\left[B_{8}, B_{9}, B_{12}, B_{13}\right]$, or $\left[B_{10}, B_{11}, B_{14}, B_{15}\right]$ in CBP.


Composition rule:

$$
8 x 8 \_d s c=\left(\left(B_{0} * 2+B_{1}\right) * 2+B_{2}\right) * 2+B_{3} ;
$$

There are 4 different tables describing $8 x 8$ descriptors based on their context:

Ctx $=Y_{0}+Y_{1}+Y_{2}+Y_{3}-1 ;$
where $Y_{0}-Y_{3}$ are the corresponding $Y$ components of the CBP descriptor.
5.3.6.5 Cr bits.

Cr bits are transmitted every time when any of the $C_{0}-C_{3} C B P$ components is set to 1.

### 5.4 Block Layer

5.4.1 Block size, scan order, and types of coefficients.

Quantized DCT transform coefficients are encoded in blocks of 16 coefficients each, corresponding to their original 4x4 layout:

| $\mathrm{C}_{0}$ | $\mathrm{C}_{1}$ | $\mathrm{C}_{2}$ | $\mathrm{C}_{3}$ |
| :--- | :--- | :--- | :--- |
| $\mathrm{C}_{4}$ | $\mathrm{C}_{5}$ | $\mathrm{C}_{6}$ | $\mathrm{C}_{7}$ |
| $\mathrm{C}_{8}$ | $\mathrm{C}_{9}$ | $\mathrm{C}_{10}$ | $\mathrm{C}_{11}$ |
| $\mathrm{C}_{12}$ | $\mathrm{C}_{13}$ | $\mathrm{C}_{14}$ | $\mathrm{C}_{15}$ |

The following types of coefficients are encoded using separate groups of tables:

1. Luma coefficients from Inter-coded $4 x 4$ blocks
2. Chroma coefficients from Inter-coded $4 x 4$ blocks
3. Luma coefficients from $4 x 4$-transformed Intra blocks
4. Chroma coefficients from $4 \times 4$-transformed Intra blocks
5. Luma DC coefficients from $16 \times 16$-transformed Intra blocks
6. Chroma DC coefficients from 16x16-transformed Intra blocks
7. Luma DC-removed coefficients from $16 \times 16$ transformed Intra blocks
8. Chroma DC-removed coefficients from $16 \times 16$ transformed Intra blocks.

To simplify the processing in the last two cases (dc-removed coefficients) the encoding is still done assuming there is a full $4 x 4$ matrix of the coefficients, but the actual code tables are designed such that coefficient $C_{1}$ is always 0.
5.4.2 The structure of the code.

The code for each block of 16 coefficients has the following structure:


```
The main code object, 4x4 block descriptor is transmitted first. Based
on the values of its components, subsequent code objects: 2x2
descriptors, level descriptors, and sign bits may follow.
The order of these code objects follows the natural order of components
in descriptors. E.g. if 4x4 descriptor indicates that there is a large
DC coefficient, then, the next code object is its Level descriptor. If
the level descriptor is not sufficient to represent the absolute value
of this coefficient exactly, it will indicate how many Extra bits will
follow. The Sign bit is transmitted right after.
Below we describe each of these code components.
```

5.4.3 $4 \times 4$ and $2 \times 2$ block descriptors.
4x4 and $2 x 2$ descriptors have the following components:

| $\mathrm{L}_{0}$ | $\mathrm{~L}_{1}$ | $\mathrm{~L}_{4}$ |
| :--- | :--- | :--- |
| $\mathrm{~L}_{2}$ | $\mathrm{~L}_{3}$ |  |
| $\mathrm{~L}_{5}$ |  |  |
| $\mathrm{~L}_{6}$ |  |  |


| $\mathrm{L}_{0}$ | $\mathrm{~L}_{1}$ |
| :--- | :--- |
| $\mathrm{~L}_{2}$ | $\mathrm{~L}_{3}$ |

Composition rules:
$4 \times 4 \_$dsc $\left.=\left(\left(\left(\left(L_{0} * 3+L_{1}\right) \star 3+L_{2}\right) \star 3+L_{3}\right) \star 2+L_{4}\right) \star 2+L_{5}\right) * 2+L_{6}$;
2 x 2 _dsc $=\left(\left(L_{0} * 3+L_{1}\right) * 3+L_{2}\right) * 3+L_{3}$;

Mappings between coefficients' values and descriptor's components:

| $\mathrm{L}_{0}$ | $\mathrm{C}_{0}, \mathrm{C}_{2}, \mathrm{C}_{8}, \mathrm{C}_{10}$ |
| :--- | :--- |
| 0 | 0 |
| 1 | $+1,-1$ |
| 2 | $+2,-2$ |
| 3 | $>2,<-2$ (escape code) |


| $\mathrm{L}_{1}, \mathrm{~L}_{3}, \mathrm{~L}_{3}$ | $\mathrm{C}_{1}, \mathrm{C}_{4}, \mathrm{C}_{5}, \mathrm{C}_{3}, \mathrm{C}_{6}, \mathrm{C}_{7}$, <br> $\mathrm{C}_{12}, \mathrm{C}_{9}, \mathrm{C}_{13}, \mathrm{C}_{11}, \mathrm{C}_{14}, \mathrm{C}_{15}$ |
| :--- | :--- |
| 0 | 0 |
| 1 | $+1,-1$ |
| 2 | $>1,<-1$ (escape code) |


| $\mathrm{L}_{4}, \mathrm{~L}_{5}, \mathrm{~L}_{6}$ | $\left[\mathrm{C}_{2}, \mathrm{C}_{3}, \mathrm{C}_{6}, \mathrm{C}_{7}\right],\left[\mathrm{C}_{8}, \mathrm{C}_{12}, \mathrm{C}_{9}, \mathrm{C}_{13}\right]$, <br> $\left[\mathrm{C}_{10}, \mathrm{C}_{11}, \mathrm{C}_{14}, \mathrm{C}_{15}\right]$ |
| :--- | :--- |
| 0 | 0 (all 4 coefficients = 0) |
| 2 | $!0$ (escape code) |

The encoding of $2 \times 2$ descriptors for $L_{4}$ and $L_{5}$ blocks is done using the same tables (with an inverse scan order of coefficients in the $L_{5}$ block). The $2 \times 2$ descriptor for block $L 6$ is encoded using separate tables.
5.4.4 Level descriptors.

When coefficients are large (which is signalized by escape codes in $4 x 4$ or $2 x 2$ descriptors), their absolute residual values are transmitted using additional level descriptors and extension bits as specified below:

| Level descriptors | Extra bits | Absolute residual values |
| :--- | :--- | :--- |
| $0-22$ | 0 | $0-22$ |
| 23 | 1 | $23-24$ |
| 24 | 2 | $25-28$ |
| 25 | 3 | $29-36$ |
| 26 | 4 | $37-52$ |
| 27 | 5 | $53-84$ |
| 28 | 6 | $85-148$ |
| 29 | 7 | $147-274$ |
| 30 | 8 | $275-530$ |

5.4.5 Sign bits.

Sign bits are transmitted for all non-zero coefficients following the description of their absolute values (by the corresponding combination of $4 \times 4,2 \times 2$, or level descriptors).

Encoding of all non-zero DCT coefficients is done in the order as they appear in $4 \times 4$ and $2 \times 2$ descriptors.

### 5.4.6 Code Tables.

5.4.6.1 Partition of code tables based on Inter/Intra coding and quantization step sizes.

The tables for all code components are separate for Inter- and Intracoded macroblocks. Additionally, different code tables are used based on QP values used to encode macroblocks. The mappings between QPs and indices of code tables are provided below.

| QP range partition for Intra- <br> coded macroblocks |  |
| :--- | :--- |
| Region \# | QP range |
| 0 | $0-9$ |
| 1 | $10-15$ |
| 2 | $16-20$ |
| 3 | $21-25$ |
| 4 | $26-30$ |


| QP range partition for Inter- <br> coded macroblocks |  |
| :--- | :--- |
| Region \# | QP range |
| 0 | $0-6$ |
| 1 | $7-10$ |
| 2 | $11-14$ |
| 3 | $15-18$ |
| 4 | $19-22$ |
| 5 | $23-26$ |
| 6 | $27-30$ |

```
5.4.6.2 Variable-length codes and code tables.
Variable length codes represent sequences of bits packed in bytes such
that earlier bits correspond to the leftmost (more significant) digits
within a byte.
Encoding and decoding algorithms discussed herein employ Canonic
Huffman Codes (see, e.g., A.Moffat, and A.Turpin, "On the
Implementation of Minimum-Redundancy Prefix Codes", IEEE Transactions
on Communications, 45(10): 1200-1207, 1997).
For the description of such codes we will only specify code lengths.
The reconstruction of the corresponding codewords can be accomplished
using the following algorithm.
/*
    * Given: n - the number of codes, and len[] - code lengths
    * Produces: code[] - canonic Huffman codewords
    */
make_code (int n, unsigned char *len, unsigned int *code)
{
    unsigned int leaves [MAX_DEPTH+1], start [MAX_DEPTH+2];
    register int i;
    /* count the number of leaves on each level: */
    for (i = 0; i <= MAX_DEPTH; i++) leaves [i] = 0;
    for (i = 0; i < n; i++) leaves [len [i]]++;
    /* set start codes for each level: */
    start [1] = 0;
    for (i = 1; i <= MAX_DEPTH; i++)
            start [i + 1] = (start [i] + leaves [i]) * 2;
    /* assign codewords: */
    for (i = 0; i < n; i++)
        code [i] = start [len [i]]++;
}
```


### 5.4.6.3 Code tables.

The following tables represent lengths of the canonic Huffman codes for all the above described components of codes for transform coefficients and CBP types.

```
/* intra tables: */
char intra_cbp[MAX_INTRA_QP_REGIONS][2][MAX_CBP] = {};
char intra_8x8_dsc[MAX_INTRA_QP_REGIONS][2][4][MAX_8x8_DSC] = {};
char intra_luma_4x4_dsc[MAX_INTRA_QP_REGIONS][3][MAX_4x4_DSC] = {};
char intra_luma_2x2_dsc[MAX_INTRA_QP_REGIONS][2][MAX_2x2_DSC] = {};
char intra_chroma_4x4_dsc[MAX_INTRA_QP_REGIONS][MAX_4x4_DSC] = {};
```

```
char intra_chroma_2x2_dsc[MAX_INTRA_QP_REGIONS][2][MAX_2x2_DSC] = {};
char intra_level_dsc[MAX_INTRA_QP_REGIONS][MAX_LEVEL_DSC] = {};
/* inter tables: */
char inter_cbp[MAX_INTER_QP_REGIONS][MAX_CBP] = {};
char inter_8x8_dsc[MAX_INTER_QP_REGIONS][4][MAX_8x8_DSC] = {};
char inter_luma_4x4_dsc[MAX_INTER_QP_REGIONS][MAX_4x4_DSC] = {};
char inter_luma_2x2_dsc[MAX_INTER_QP_REGIONS][2][MAX_2x2_DSC] = {};
char inter_chroma_4x4_dsc[MAX_INTER_QP_REGIONS] [MAX_4x4_DSC] = {};
char inter_chroma_2x2_dsc[MAX_INTER_QP_REGIONS][2][MAX_2x2_DSC] = {};
char inter_level_dsc[MAX_INTER_QP_REGIONS][MAX_LEVEL_DSC] = {};
```


## 6 Performance Estimates

Note for V1.0 of this document, this section has not been updated with actual results now being obtained; it remains as a compilation of previous results and may not be relevant.

### 6.1 CPU Utilization

<Section to be expanded.> This section summarizes the CPU performance estimates for the RealVideo decoder.
6.1.1 Decoder Performance


RV8 C/ASM is an estimate for a RV8 decoder using C for everything except the postfilter.

Decoder CPU usage is similar to that of the existing decoder. At these low CPU utilizations there are no performance issues with respect to the RV8 algorithm. The performance difference between the MMX(TM) processor instruction variant and the SSE instruction variant is too small ( ~5\%) to initially justify developing both versions.

Code size for the entire decode loop is estimated at less than 4 K , fitting easily within the CPU code cache.

## 7 QA Test Procedures

See QA test procedures doc.

## 8 References

[1] ISO/IEC 14496-2, "Information technology - Generic coding of audio-visual objects: Visual,", March 1999.
[2] G. Sullivan and T. Wiegand, "Rate-Distortion Optimization for Video Compression," IEEE Signal Processing Magazine, Vol. 15, No. 6, Nov. 1998.
[3] G. Bjontegaard, "Response to Call for Proposals for H.26L," Q15-F-11, ITU-T Advanced Video Meeting, Seoul, Nov. 98, ftp://standard.pictel.com/video-site/9811_Seo/q15f11.doc .
[4] G. Bjontegaard, "Enhancement of the Telenor proposal for H.26L," Q15-G-25, ITU-T Advanced Video Meeting, Monterey, Feb. 99, ftp://standard.pictel.com/video-site/9902_Mon/q15g25.doc .
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site/0002_Gen/Telenor_intra.doc.
[6] Gary Sullivan, "Draft Text of Recommendation H. 263 Version 2 ("H.263+") for Decision"
[7] Gregory J. Conklin, Gary S. Greenbaum, Karl O. Lillevold, Alan F. Lippman and Yuriy A. Reznik, "Video Coding for Streaming Media Delivery on the Internet," IEEE Transactions on Circuits and Systems for Video Technology.

## 9 Annex A

RealVideo Decoders are Split into 2 parts, RealVideo Frontend and the decoder Backend.

RealVideo Frontend: Exposes the RealMedia Codec Interface.
The Frontend handles all the Initialization, pre-post filtering, frame-rate up sampling, statistics, and scalability decisions.

RealVideo Backend: Exposes the Hive/PIA Codec Interface.
The Backend decodes the Bitstream. The Backend maybe referred to as ILVC in general or in RV8 by codename "Tromsø" to refer to specific algorithms.

Back End Interface:

```
RV20toYUV420Init (RV10_INIT *prv10Init, void **decoderState)
RV20toYUV420Free (void *global)
RV20toYUV420Transform (
    UCHAR *pRV20Packets,
    UCHAR *pDecodedFrameBuffer,
    void *pInputParams, // H263DecoderInParams
    void *pOutputParams, // H263DecoderOutParams
    void *global )
```

```
    RV20toYUV420CustomMessage (
                PIA_Custom_Message_ID *msg_id, void *global
                )
The RV20toYUV420CustomMessage function exposes decoder interfaces that are specific to the "ILVC" decoder. These interfaces are defined in "ilvcmsg.h".
RV20toYUV420HiveMessage (ULONG32 *msg_id, void *global)
The RV20toYUV420HiveMessage function exposes decoder interfaces that may be applicable to a variety of decoders, not just to "ILVC". The 'msg' parameter points to a ULONG32 that identifies a particular interface or feature. This ULONG32 is actually the first member in a larger struct, similar to the PIA_Custom_Message_ID usage. See "hivervi.h" for a complete list of supported messages.
typedef struct tagRV10_INIT
\{
UINT16 outtype;
UINT16 pels;
UINT16 lines;
UINT16 nPadWidth;
/* number of columns of padding on right to get 16 x 16 block*/
UINT16 nPadHeight;
/* number of rows of padding on bottom to get 16 x 16 block*/
UINT16 pad_to_32;
// to keep struct member alignment independent of compiler options
ULONG32 ulInvariants;
// ulInvariants specifies the invariant picture header bits -- SPO
LONG32 packetization;
ULONG32 ulStreamVersion;
\} RV10_INIT;
typedef struct tag_H263DecoderInParams \{
ULONG32 dataLength;
LONG32 bInterpolateImage;
ULONG32 numDataSegments;
PNCODEC_SEGMENTINFO *pDataSegments;
ULONG32 flags;
// 'flags' should be initialized by the front-end before each
// invocation to decompress a frame. It is not updated by the
// decoder.
// If it contains RV_DECODE_MORE_FRAMES, it informs the decoder
// that it is being called to extract the second or subsequent
// frame that the decoder is emitting for a given input frame.
// The front-end should set this only in response to seeing
// an RV_DECODE_MORE_FRAMES indication in H263DecoderOutParams.
// If it contains RV_DECODE_DONT_DRAW, it informs the decoder
// that it should decode the image (in order to produce a valid
// reference frame for subsequent decoding), but that no image
// should be returned. This provides a "hurry-up" mechanism.
ULONG32 timestamp;
\} H263DecoderInParams;
typedef struct tag_H263DecoderOutParams
\{
ULONG32 numFrames;
```

```
ULONG32 notes;
//'notes' is assigned by the transform function during each call to
// decompress a frame. If upon return the notes parameter contains
// the indication RV_DECODE_MORE_FRAMES, then the front-end
// should invoke the decoder again to decompress the same image.
// For this additional invocation, the front-end should first set
// the RV_DECODE_MORE_FRAMES bit in the 'H263DecoderInParams.flags'
// member, to indicate to the decoder that it is being invoked to
// extract the next frame.
// The front-end should continue invoking the decoder until the
// RV_DECODE_MORE_FRAMES bit is not set in the 'notes' member.
// For each invocation to decompress a frame in the same
// "MORE_FRAMES"
// loop, the front-end should send in the same input image.
//
// If the decoder has no frames to return for display, 'numFrames'
// will be set to zero. To avoid redundancy, the decoder does
// *not* set the RV_DECODE_DONT_DRAW bit in'notes' in this case.
ULONG32 timestamp;
// The 'temporal_offset' parameter is used in conjunction with the
// RV_DECODE_MORE_FRAMES note, to assist the front-end in
// determining when to display each returned frame.
// If the decoder sets this to T upon return, the front-end should
// attempt to display the returned image T milliseconds relative to
// the front-end's idea of the presentation time corresponding to
// the input image.
// Be aware that this is a signed value, and will typically be
// negative.
ULONG32 width;
ULONG32 height;
// Width and height of the returned frame.
// This is the width and the height as signalled in the bitstream.
} H263DecoderOutParams;
```


## 10 Annex B

### 10.1 Encoder Command line Interface

Usage: tromsoe infile [options]
In the following syntax descriptions, arglist is a comma-separated list of the form \"arg[=value], arg[=value],... \". Some arguments take values, some do not. If arglist contains any whitespace, it must be enclosed in quotes. For example, -d 4,l=mylog.txt,a specifies the debug level to be 4, that the debug log file is named \"mylog.txt\", and that the file should be opened in append mode rather than being overwritten.

| Infile | Specify raw YUV12 input file |
| :--- | :--- |
| -a letter, arglist | Enable a specific H.263 annex. |
| Letter | letter is mandatory and must be the |
|  | first argument in arglist. It is |
| the annex's upper case letter. |  |
| For some annexes, this letter |  |


|  | argument takes a value, as described below. The remaining elements of arglist are specific to each annex. For annexes that can be applied on a per-layer basis, arglist can contain \"l=<level>\", indicating the option is being applied to the given level. |
| :---: | :---: |
| K [=<bytes_per_slice>] | Slice Structured Mode [default slice size is 512] |
| 0 | Add a new scalability layer. First O option describes layer 0, second layer 1, etc (deprecated). Options include: |
| w=<width> | ```Layer width [default: layer 0 QCIF, layer n prev] (deprecated)``` |
| h=<height> | ```Layer height [default: layer 0 QCIF, layer n prev] (deprecated)``` |
| p=profile | String profile of 'P's, 'B's and ''s [default \"P\"] |
| r=<ref_layer> | ```[default: 0 for layer 0, n - 1 for layer n] (deprecated)``` |
| -z | Enable Interlaced Encode. |
| -b <image_range> | Images to encode [encode all by default] |
|  | <image_range> is <m>-<n>: |
|  | 3-5 means frames 3, 4 and 5 |
|  | 4- means frames 4 and beyond |
|  | -5 means frames 0 through 5 |
|  | 7 means frames 0 through 7 |
| -c <cpu_usage> | Specify CPU scalability setting. cpu_usage is a number between 0 and 100 |
| -d arglist | Specify debugging output. |
| <level> | ```Detail level. Use -1 to suppress. [default is 0]``` |
| l=logfile | Output file for debug messages. [default is stdout] |
| a | Append to logfile. [default is to overwrite] |
| -f arglist | Specify format of compressed output file. |
| r | Use raw format. [default] |
| x | Use extended raw format. |
| -h | Display this command line help and exit. |
| -i arglist | Specify input file format. |
| w=<width> | Source image width [default is 176] |
| h=<height> | Source image height [default is 144] |
| fps=<frame_rate> | Source frame rate [default is 30 fps] (deprecated) |
| sf=<skip_factor> | Source frames to skip between each encoded frame [0] |
| $p \mathrm{cf}=<\mathrm{clock}$ _freq> | Picture clock frequency [default is 29.97] (deprecated) |
| par=par_description | Pixel aspect ratio. par_description |


|  | is a string (deprecated) |
| :---: | :---: |
| -m <speed> | Specify machine clock rate in MHz. |
| -r mode, arglist | Specify data rate control for non-I frames. |
| mode | mode is mandatory and must be the first argument in arglist. It is one of the following strings: |
| q[=<qual>] | Use PIA_RCM_QUALITY with the given quality [5000]. |
| Q [=<qp>] | ```Map fixed QP into PIA_RCM_QUALITY [5000].``` |
| fs=<frame_size> | (deprecated) |
| fd | (deprecated) |
| q=<quality> | Specifies minimum quality level in range $0 . .10000$. <br> [default is 0]. |
| fps=<frame_rate> | (deprecated) |
| d=<data_rate> | (deprecated) |
| kb=<data_rate> | (deprecated) |
| $\mathrm{B}=<\mathrm{QP}>$ | Use the given QP for B frames |
| l=<layer> | (deprecated) |
| -k mode, arglist | Specify rate control for I frames. |
| mode | mode is mandatory and must be the first argument in arglist. It is one of the following strings: |
| $\begin{aligned} & \text { i=<interval> } \\ & \text { interval }=0 \\ & \text { interval > } \end{aligned}$ | Specify key frame period. <br> Use PIA_KFCM_AUTO. <br> Use PIA_KFCM_INTERVAL, with the given interval. |
| a | Use PIA_RCM_AUTO rate control [this is the default]. |
| $\mathrm{q}[=<q u a l i t y>]$ | Use PIA_RCM_QUALITY with the given quality [5000]. |
| fs=<frame_size> | Use method PIA_RCM_FRAME_SIZE with the given target frame size (in bytes). (deprecated) |
| q=<quality> | Specifies quality level in range 0 .. 10000. [default is 5000 for mode PIA_RCM_QUALITY, else 0]. |
| l=<layer> | (deprecated) |
| -o outfile | Specify output file. Output suppressed if unspecified. |
| -q | Quiet mode (no summary statistics). |
| -v | Verbose mode. Displays progress messages and statistics about the compressed bitstream to stdout. |

### 10.2 Decoder Command line Interface

Usage: tromsod infile [options]
In the following syntax descriptions, arglist is a comma-separated list of the form \"arg[=value], arg[=value],...\". Some arguments take values, some do not. If arglist contains any whitespace, it must be enclosed in quotes. For example, $-d$ 4,l=mylog.txt,a specifies the debug level to be 4, that the debug log file is named \"mylog.txt\",
and that the file should be opened in append mode rather than being overwritten.

| infile | Specify TROMSO bitstream input file. |
| :---: | :---: |
| -b <image_range> | ```Images to decode [decode all by default] <image_range> is <m>-<n>: 3-5 means frames 3, 4 and 5 4- means frames 4 and beyond -5 means frames 0 through 5 7 means frames 0 through 7``` |
| -d arglist | Specify debugging output. |
| <level> | Detail level. Use -1 to suppress. [default is 0] |
| l=logfile | Output file for debug messages. [default is stdout] |
| a | Append to logfile. [default is to overwrite] |
| -e arglist | Specify post filtering options |
| smoothing | Smoothing [default is off] |
| -f arglist | Specify display attributes (deprecated) |
| -h | Display this command line help and exit. |
| -i arglist | Specify input file format. |
| w=<width> | Compressed image width [default is 176] |
| h=<height> | Compressed image height [default is $144]$ |
| -1 | Enable latency mode [default is off] |
| -m <speed> | Specify machine clock rate in MHz . (WIN32 IA only) |
| -o outfile | Specify output file. Output suppressed if unspecified. |
| -p | Enable smoothing postfilter [default is off] |
| -q | Quiet mode. Suppresses display of summary information. |
| -v | Verbose mode. Displays progress messages to stdout. |
| -x arglist | Specify packet loss characteristics. |
| <percent> | Percent packet loss [default is 0]. |

